PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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* TR	ANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER								
	ESIGNATED/ELECTED	A4-145 US								
CON	CERNING A SUBMISSIO	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)								
PCT/EP	10001 APPLICATION NO. 2004/012816	INTERNATIONAL FILING DATE 28 JULY 2005	30 DECEMBER 2003							
TITLE OF	OPTICAL	CONNECTOR ARRANGEMENT								
APPLICANT(S) FOR DO/EO/US Otto SCHEMPP										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. X	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.									
2. 🔲 T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. 🔲 1	The US has been elected (Article 31).									
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. X has been communicated by the International Bureau.									
6	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. X	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. X is attached hereto.									
E)	b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7. X	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. Lare attached hereto (required only if not communicated by the International Bureau).									
	b. X have been communicated by the International Bureau.									
	c. Lightary have not been made; however, the time limit for making such amendments has NOT expired.									
. $\Box$	d. Have not been made and will not be made.									
9 X	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)									
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT									
10.	Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the attendational Premiumary L	Adminiation Report under PC1							
	Items 11 to 20 below concern document(s) or information included:									
11. 🗓	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.								
12. X	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.	A preliminary amendment.									
14.	An Application Data Sheet under 37 CFR 1.76.									
15.	A substitute specification.									
16. 📉	A power of attorney and/or change of address letter. (UNEXECUTED)									
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. 🗀	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2004/012816					ATTORNEY'S DOCKET NUMBER				
LU/554938 PCT/EP2004/012816				A4-145 US					
The following	ng fees have b	CALCULATIONS	PTO USE ONLY						
21. X Basic na	tional fee			\$300	\$ 300				
22. X Examina If International prelin PCT Article 33(1) All other situations	ninary examina -(4)	\$ 200							
23. X Search I Search fee (37 CFR International International Search All other situations	1.445(a)(2)) ha Searching Aut Report prepar	\$ 500							
то	TAL OF 2 <u>1,</u> 22	2 and 23 =			\$				
Additional fee for sequence listing The fee is \$250	or computer p								
Total Sheets E	xtra Sheets		h additional 50 or fraction up to a whole number)	RATE					
- 100 =	/50 =			x \$250	\$	l			
Surcharge of \$130.00 claimed priority date		\$							
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$				
Total claims	22	- 20 =	2	× \$ 50	\$ 100				
Independent claims		- 3 =		x \$200	\$				
MULTIPLE DEPEND	ENT CLAIM(S	i) (if applicable)		+ \$360	\$				
				CALCULATIONS =	\$				
Applicant claims	small entity st	atus. See 37 CFI	R 1.27. Fees above are reduced	ced by 1/2.					
				SUBTOTAL =	\$				
Processing fee of \$1 claimed priority date		\$							
			TOTAL	NATIONAL FEE =	\$				
Fee for recording the by an appropriate co		\$ 40							
			TOTAL F	EES ENCLOSED =	\$ 1,140.00				
		Amount to be refunded:	\$						
					Amount to be charged:	\$			
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. X Please charge my Deposit Account No. 50-1873 in the amount of \$ 1,140.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. X  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1873. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not									
be Included on this form. Provide credit card information and authorization on PTO-2038  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number TRANSMITTAL Filing Date June 30, 2006 First Named Inventor FORM SCHEMPP, OTTO Art Unit Unknown **Examiner Name** Unknown (to be used for all correspondence after initial filing) Attorney Docket Number A4-145 US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Transmittal Letter to the U.S. Request for Refund Express Abandonment Request Declaration for Utility or Des. Patent App. Declaration/POA CD, Number of CD(s) Information Disclosure Statement Recordation and Assignmet Document Specification/ IDS Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature Printed name Romi N. Bose Date Reg. No. June 30, 2006 43.322 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature acinda Wilson Date June 30, 2006 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.